

**CISSP® Common Body of Knowledge  
Review:  
Cryptography Domain –  
Part 1**

**Version: 5.9.2**



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# Cryptography Domain

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The Cryptography domain addresses the principles, means, and methods of applying mathematical algorithms and data transformations to information to ensure its integrity, confidentiality, and authentication.

The candidate is expected to know basic concepts within cryptography; public and private key algorithms in terms of their applications and uses; algorithm construction, key distribution and management, and methods of attack; the applications, construction and use of digital signatures to provide authenticity of electronic transactions, and non-repudiation of the parties involved; and the organization and management of the Public Key Infrastructure (PKIs) and digital certification and management.

# Cryptography Domain – Part 1

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## Terms, Definition, Concept & History

- Cipher Types
  - Classic Ciphers
  - Modern Ciphers
- Cryptographic Algorithms
  - Hash Function Cryptography
  - Symmetric Key Cryptography
  - Asymmetric Key Cryptography
  - Hybrid Cryptography

## Cryptography Domain – Part 2

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- Utilization of cryptography
  - Public Key Infrastructure (PKI)
  - HTTP, S-HTTP, IPsec, SSH, SET
  - Single Sign-On (SSO)
  - Secured E-mail
- Types of crypto attacks
  - Crypto-analytic Attacks
  - Cryptographic Attacks
- Discussion on export of crypto technologies

# Security Principles and Cryptography

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## Objectives of Cryptography:

- Confidentiality – unauthorized persons cannot access information.
- Integrity – ensures the message remains unmodified.

## Cryptography provides:

- Confidentiality – Encryption.
- Integrity – Hash/Message Digest.
  - Authentication – to verify the identity of a subject.
  - Non-repudiation – sender can't deny sending.

## Stuff To Look Out For...

- Authentication – Is **NOT** part of the “C.I.A. Triad”.

# Cryptograph ... (1/3)

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- Cryptography – the science of secret writing.
- Cryptology – the study of cryptography and cryptanalysis.
- Cryptosystem – hardware and/or software implementation of cryptography.
- Algorithm – a precise rule (or set of rules) specifying how to solve some problem or accomplish a specific task.
- Cipher – cryptographic transformation operating with bits or characters.

# Cryptograph ... (2/3)

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- Plaintext/Cleartext – data in unscrambled form.
- Ciphertext/Cryptogram – scrambled data.
- Encipher/Encrypt/Encode – act of scrambling using key.
- Decipher/Decrypt/Decode – descrambling with key.
- Cryptanalysis – the practice of breaking cryptosystems or obtaining plaintext from cipher text without a key.
- Work Factor – time, effort, and resources necessary to break a cryptosystem.

# Cryptograph ... (3/3)

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- Key – For crypto, a secret value in the form of a sequence of characters used to encrypt and decrypt.
- Key clustering – instance where two keys generate the same ciphertext from same plaintext.
- Keyspace – All possible values used to construct keys. The larger keyspace the better.
- Initialization Vector (IV) – In crypto, IV is a block of bits used as the initializing input algorithm for the encryption of a plaintext block sequence.
  - IV increases security by introducing additional cryptographic variance and to synchronize cryptographic equipment



# History of Cryptograph ...(1/4)

For CISSP Exam... Read *Secrets and Lies – Digital Security in a Networked World* by Bruce Schneier, or *Codebreaker: The History of Codes and Ciphers*, by Stephen Pincock.

- **1500 BC:** A Mesopotamian tablet contains an enciphered formula for the making of glazes for pottery.
- **487 BC:** The Greek used a device called the scytale/skytale – a staff around which a long, thin strip of leather was wrapped and written on.
- **50-60 BC:** Julius Caesar used a simple substitution with the normal alphabet (just shifting the letters a fixed amount) in government communications.
- **1790:** Thomas Jefferson invented wheel cipher. (The order of the disks is the key).
- **1854:** Charles Babbage re-invented the wheel cipher.



Mesopotamian Tablet



Scytale Cipher



Caesar Cipher



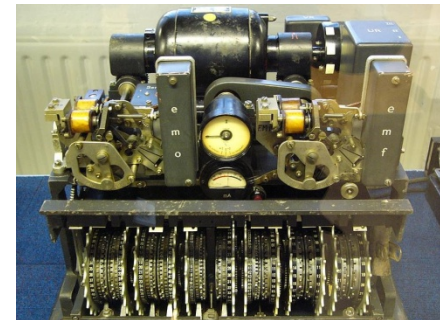
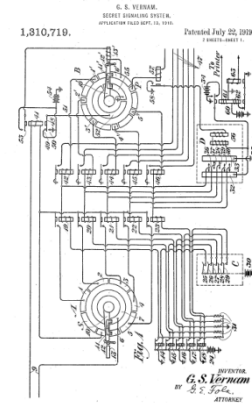
Thomas Jefferson Wheel Cipher



Charles Babbage's wheel cipher

# History of Cryptograph ...(2/4)

- **1919-1922:** Patents issued to Gilbert Vernam for Vernam cipher.
- **1930-1941:** German military used Lorenz SZ 40 and SZ 42 cipher machines based on Vernam stream cipher to encrypt teleprinter messages.
  - Stream cipher using pseudorandom bits to be XOR'ed with the plaintext.
- **1933-1945:** German military field units used Enigma cipher machine to encrypt messages.
  - Electro-mechanical rotor cipher machine uses polyalphabetic substitution

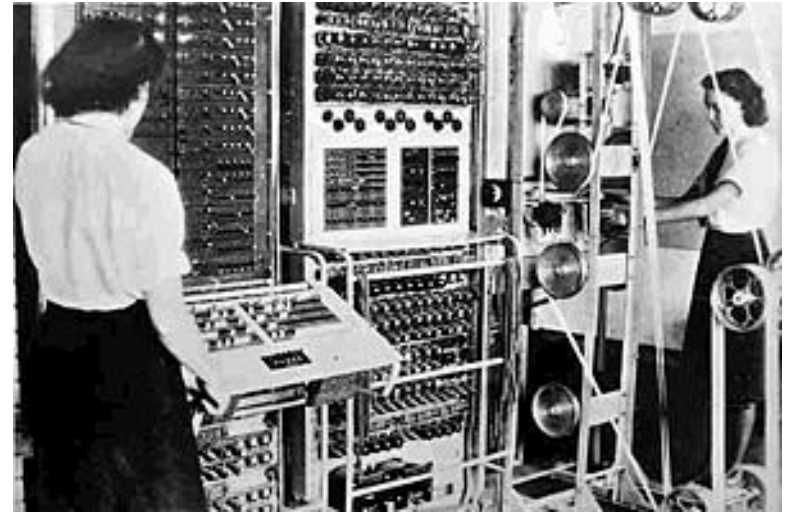


Enigma Cipher Machine

# History of Cryptograph ...(3/4)

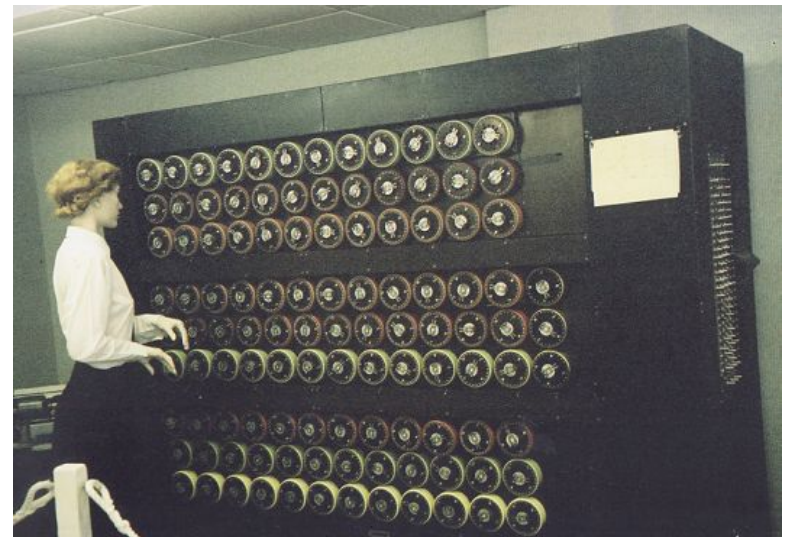
- **1943-1944:** British code breakers designed Colossus Mark 1 and Colossus Mark 2 to decrypt Lorenz cipher machine.

- Designed by Max Newman & Tommy Flowers
- Using frequency analysis.



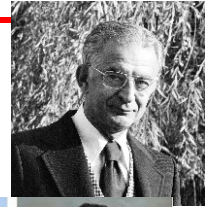
- **1938-1944:** British code breakers designed Bombe to decrypt Enigma cipher machine.

- Designed by Alan Turing
- Using frequency analysis



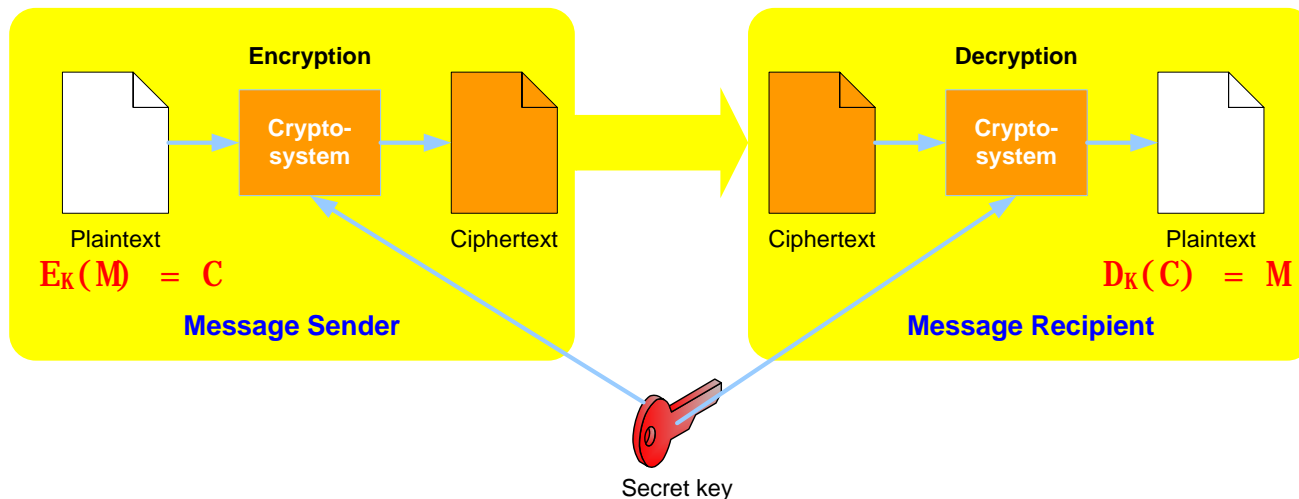
# History of Cryptograph ... (4/4)

- **1976:** NSA chosen IBM's modified Lucifer cipher to be the Data Encryption Standard (DES).
- **1976:** Whitfield Diffie & Martin Hellman published *New Directions in Cryptography*.
- **1978:** Ronald L. Rivest, Adi Shamir & Leonard M. Adleman (RSA) published RSA Algorithm for Public Key System.
- **1984:** ROT13 cipher introduced on UNIX systems, it encrypts cleartext message by shifts letters 13 places.
- **1991:** Phil Zimmermann released first version of PGP (Pretty Good Privacy).
- **2000:** Joan Daeman and Vincent Rijman's Rijndael algorithm was selected by NIST as the Advanced Encryption Standard (AES).



# Cryptographic Algorithm & Operation

- Cryptographic algorithm – A set of mathematical function and rules that takes plaintext and a key as input, and product ciphertext as output.
- Cryptographic operation – Encryption/Decryption
  - Encryption – An act to convert plaintext into ciphertext in order to preserve confidentiality of data.
  - Decryption – An act to convert ciphertext back to plaintext.



# Strength of Encryption

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- The goal of the cryptosystem is to make compromising it too expensive or time consuming to justify the effort.
- The strength of the encryption method comes from:
  1. The algorithm
  2. Secrecy of the key
  3. Length of the key
  4. Initialization vectors (IV)
  5. And how they all work together (encryption method)
- Strength (a.k.a. work factor) refers to how hard it is to figure out the algorithm or a key (whichever is not made public) used in the cryptosystem.
  - “Work Factor” is an estimate of the effort it would take an attacker to compromise the encryption method.

## Questions:

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- What is the definition of cryptography?
  -
- What is the definition of keyspace?
  -
- What is a scytale?
  -
- Who first invented wheel cipher?
  -
- What is the significance of Diffie-Hellman algorithm?
  -
- What is the significance of RSA algorithm?
  -

## Answers:

---

- What is the definition of cryptography?
  - The science of secret writing.
- What is the definition of keyspace?
  - All possible values used to construct keys.
- What is a scytale?
  - A staff which a long, thin strip of leather was wrapped and written on.
- Who first invented wheel cipher?
  - Thomas Jefferson.
- What is the significance of Diffie-Hellman algorithm?
  - It enables key-exchange.
- What is the significance of RSA algorithm?
  - Public key cryptography.



## Questions:

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- Act of converting plaintext into ciphertext is...?  
–
- Act of converting ciphertext back to plaintext is...?  
–
- Instance where two different keys generate the same ciphertext from a plaintext is...?  
–
- The practice of breaking cryptosystems or obtaining plaintext from ciphertext without a key is...?  
–

## Answers:

---

- Act of converting plaintext into ciphertext is...?
  - Encryption
- Act of converting ciphertext back to plaintext is...?
  - Decryption
- Instance where two different keys generate the same ciphertext from a plaintext is...?
  - Key clustering
- The practice of breaking cryptosystems or obtaining plaintext from ciphertext without a key is...?
  - Cryptanalysis

# Cryptography Domain – Part 1

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- Terms, Definition, Concept & History



## Cipher Types

- Classic Ciphers
- Modern Ciphers

- Cryptographic Algorithms

- Hash Function Cryptography
- Symmetric Key Cryptography
- Asymmetric Key Cryptography
- Hybrid Cryptography

# Cipher Types

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## Classic Ciphers:

- Substitution cipher
- Transposition cipher
- Polyalphabetic (or running key) cipher
- Concealment

## Modern Ciphers:

- Block cipher
- Stream cipher
- Steganography
- Combination: Complexity can be created by use combination above.

# Substitution Cipher

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- A substitution cipher substitutes one piece of information for another.
  - This is most frequently done by offsetting letters of the alphabet. (a.k.a. “shift alphabet”)
- Two examples are:
  - Caesar cipher
  - ROT13 cipher on UNIX



Confederacy's cipher disk

ABCDEFGHIJKLMNOPQRSTUVWXYZ



and sliding everything up by 3, you get

ABCDEFGHIJKLMNOPQRSTUVWXYZABC

so “D = A”, “E = B”, “F = C”, and so on.

# Polyalphabetic (or Running Key) Cipher

- The running key cipher is a type of substitution cipher
  - Invented by Blaise de Vigenère in 19<sup>th</sup> century
  - The cryptographic algorithm is polyalphabetic substitution, where the secret key is repeated along the length of plaintext/ciphertext



**Plai ntext:**    **COMPUTING GIVES INSIGHT**  
**Keyword:**        **LUCKYLUCK YLUCK YLUCKYL**  
**Ci phertext:**   **NI OZSECPQ ETPGC GYMKQFE**

A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R	S	T	U	V	W	X	Y	Z
B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R	S	T	U	V	W	X	Y	Z	A
C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R	S	T	U	V	W	X	Y	Z	A	B
D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R	S	T	U	V	W	X	Y	Z	A	B	C
E	F	G	H	I	J	K	L	M	N	O	P	Q	R	S	T	U	V	W	X	Y	Z	A	B	C	D
F	G	H	I	J	K	L	M	N	O	P	Q	R	S	T	U	V	W	X	Y	Z	A	B	C	D	E
G	H	I	J	K	L	M	N	O	P	Q	R	S	T	U	V	W	X	Y	Z	A	B	C	D	E	F
H	I	J	K	L	M	N	O	P	Q	R	S	T	U	V	W	X	Y	Z	A	B	C	D	E	F	G
I	J	K	L	M	N	O	P	Q	R	S	T	U	V	W	X	Y	Z	A	B	C	D	E	F	G	H
J	K	L	M	N	O	P	Q	R	S	T	U	V	W	X	Y	Z	A	B	C	D	E	F	G	H	I
K	L	M	N	O	P	Q	R	S	T	U	V	W	X	Y	Z	A	B	C	D	E	F	G	H	I	J
L	M	N	O	P	Q	R	S	T	U	V	W	X	Y	Z	A	B	C	D	E	F	G	H	I	J	K
M	N	O	P	Q	R	S	T	U	V	W	X	Y	Z	A	B	C	D	E	F	G	H	I	J	K	L
N	O	P	Q	R	S	T	U	V	W	X	Y	Z	A	B	C	D	E	F	G	H	I	J	K	L	M
O	P	Q	R	S	T	U	V	W	X	Y	Z	A	B	C	D	E	F	G	H	I	J	K	L	M	N
P	Q	R	S	T	U	V	W	X	Y	Z	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O
Q	R	S	T	U	V	W	X	Y	Z	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P
R	S	T	U	V	W	X	Y	Z	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q
S	T	U	V	W	X	Y	Z	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R
T	U	V	W	X	Y	Z	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R	S
U	V	W	X	Y	Z	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R	S	T
V	W	X	Y	Z	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R	S	T	U
W	X	Y	Z	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R	S	T	U	V
X	Y	Z	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R	S	T	U	V	W
Y	Z	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R	S	T	U	V	W	X
Z	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R	S	T	U	V	W	X	Y

Source: <http://astro.ocis.temple.edu/~dhill001/vigenere/vigenere.html>

# Transposition Cipher

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- Instead of replacing the characters with other characters, this cipher simply changes the order of the characters.
- The key determines the positions that the characters are moved to
- The key for this cipher is not standard.
  - Instead of a list of alphabetic substitutions, it is a mapping order.
  - Such as  $(1, 2, 3, 4, 5) = (3, 4, 5, 2, 1)$ . This means that the third element is put in place of the first, thus followed by the fourth, then the fifth, second, and finally followed by the original first element.
  - Example: "WORLD" -> "RLDOW"

## Transposition Cipher

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- Permutations of this cipher run in blocked matrices. This means that the message is spread out into a matrix.
- Example: "I LOVE CISSP CBK REVIEW CLASS 2012"

I LOVECI  
SSPCBKR  
EVI EWCL  
ASS2012

ICW2012  
LBCLASS  
OKREVI E  
VECI SSP



## Concealment Cipher

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The concealment cipher hides a message in a longer message i.e. “a message WITHIN a message”

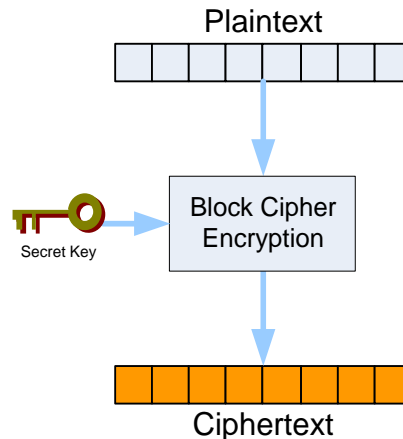
- A paragraph is sent to you containing an embedded secret message.
- Example:
  - The agreed upon secret key is to use every sixth word.
  - Selecting every sixth word in the paragraph will decrypt the message

“I have been trying to **buy** you a nice gift like **gold** or an antique but **prices now** are really high. ”

# Block Cipher

## Block Cipher

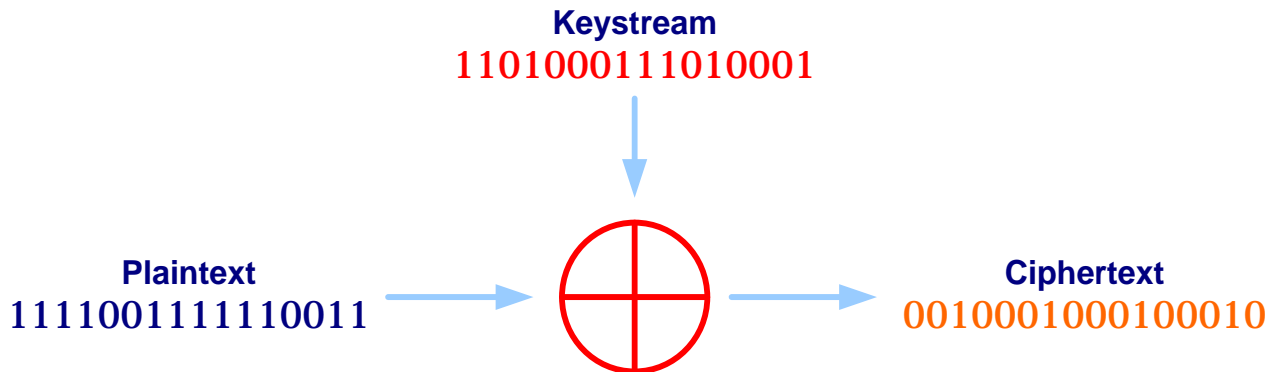
- Operate on fixed size block of plaintext.
- The encryption algorithm takes a fixed-length block of plaintext and create a block of ciphertext in the same length.
  - Usually 8-byte (64-bit)
- Usually implemented in software.
- Generally, Block cipher encryption is slower than Stream cipher encryption.
- Example: DES, Triple DES, AES, IDEA



# Stream Cipher

## Stream Cipher

- Operate on continuous streams of plaintext.
- Usually implemented in hardware.
- Well suited for serial communications.
- Functional complex, with long key that does not repeat.
- Statistically unpredictable.
- Keystream should NOT be linearly related to key.
- Example: RC4, SEAL, VEST



# Steganography

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Steganography is a method of hiding data in another media so that the data's very existence is concealed.

- Microdot, very popular in World War II
- Computer files (graphic images, MP3, or video) contain unused or insignificant areas of data... Steganography takes advantage of these areas, replacing them with information.
- The files can then be exchanged without anyone knowing what really lies inside of them.
- Web Bugs – malicious version of Steganography.
- Can be used to insert concealed digital watermarks.

Note: For CISSP Exam... Steganography **DOES NOT USE** algorithms or keys to encrypt data...it hides data within another object.

## Questions:

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- What are the four types of modern cipher?
  - 
  - 
  - 
  -
- What are the four types of classic cipher?
  - 
  - 
  - 
  -

# Answers:

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- What are the four types of modern cipher?
  - Block cipher
  - Stream cipher
  - Steganography
  - Combination
  
- What are the four types of classic cipher?
  - Substitution
  - Transposition
  - Polyalphabetic
  - Concealment

# Cryptography Domain – Part 1

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- Terms, Definition, Concept & History
- Cipher Types
  - Classic Ciphers
  - Modern Ciphers
- Cryptographic Algorithms
  - Hash Function Cryptography
  - Symmetric Key Cryptography
  - Asymmetric Key Cryptography
  - Hybrid Cryptography



# Hash Function Cryptography

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- A hash function takes an input of arbitrary length and outputs a fixed size value – “Hash Value”.
- The maximum number of input and output bits is determined by the design of the hash function.
  - Pre-image resistance. A good hash function is “one-way”. Original input (message or file) can not be derived from the hash value.
  - Collision resistance. Two inputs into a hash function should not produce the same hash value.



# Hash Function Cryptography

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- Cryptographic hash functions are used to provide integrity, authentication and non-repudiation.
  - Message digest, if the message or file is used as an input into a cryptographic hash function.
  - Message authentication, if a secret key is used along with a message as inputs into a cryptographic hash function.
  - Digital signature, if the private key is used as an input, and the output can be verified with the public key.
- Cryptographic hash functions are also used as “randomness extractor” for pseudo-random number generators (PRNGs)

# Hash Function Cryptography

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There are two flavors and a hybrid hash functions:

- Non-keyed digest (for integrity)
  - Message Integrity Code (MIC).
  - Modification Detection Code (MDC).
- Keyed digest (for authentication)
  - Message Authentication Code (MAC): Secret key + message.
  - Keyed-hash MAC or Hashed MAC (HMAC): MAC + MDC.
- Digital signature (for non-repudiation)

Popular message digest algorithms:

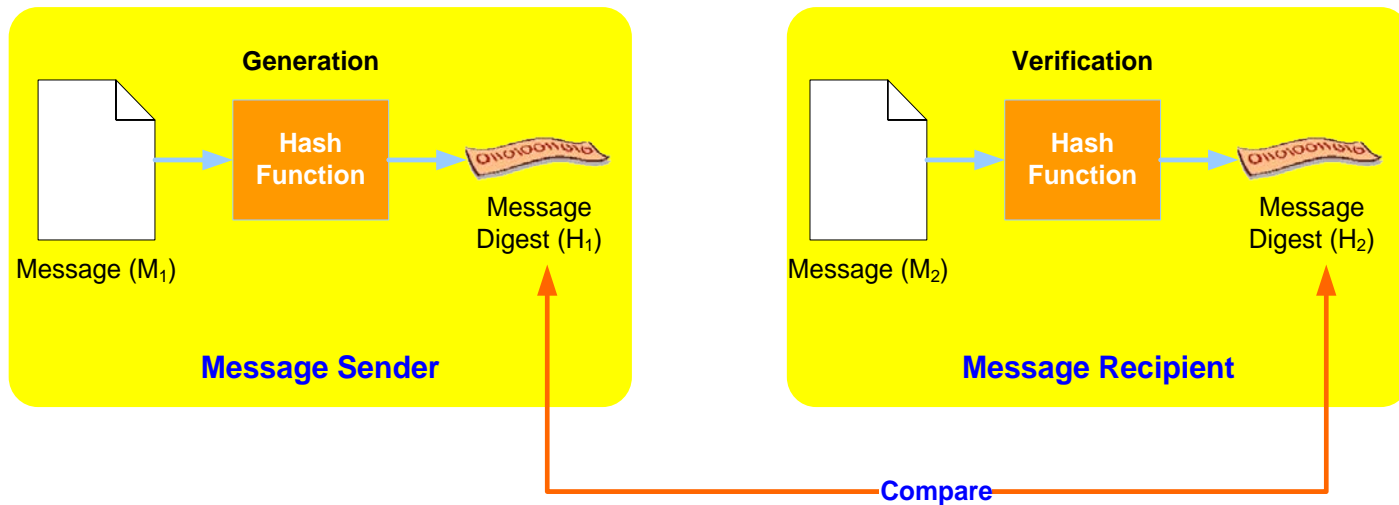
- MD5, RIPE-MD, HAVAL,
- FIPS 186-2: SHA-1, SHA-224, SHA-256, SHA-384, SHA-512.

Popular digital signature algorithms:

- ElGamal,
- FIPS 180-2: DSA, EC-DSA.

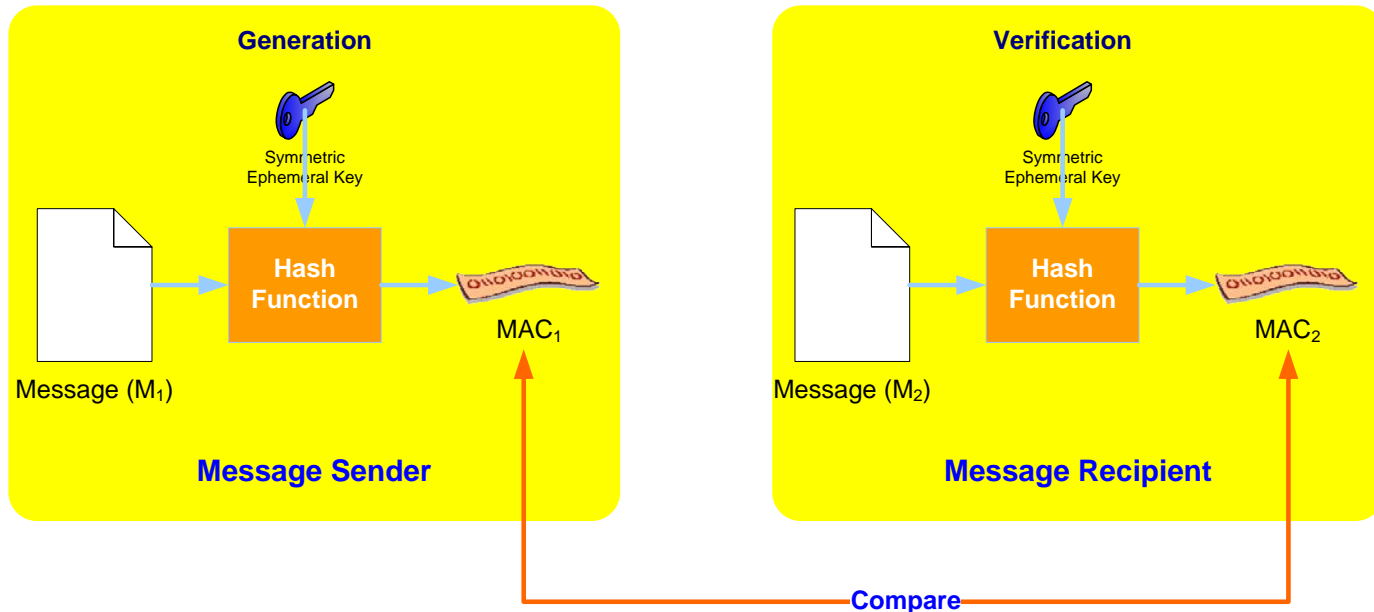
# Hash Function Cryptography – Non-Keyed Digest

- Non-keyed digest is used to provide integrity function. The output fixed-size “hash value” is called:
  - Message Digest (MD) in cryptography world.
  - Modification Detection Code (MDC-2) patented by IBM.



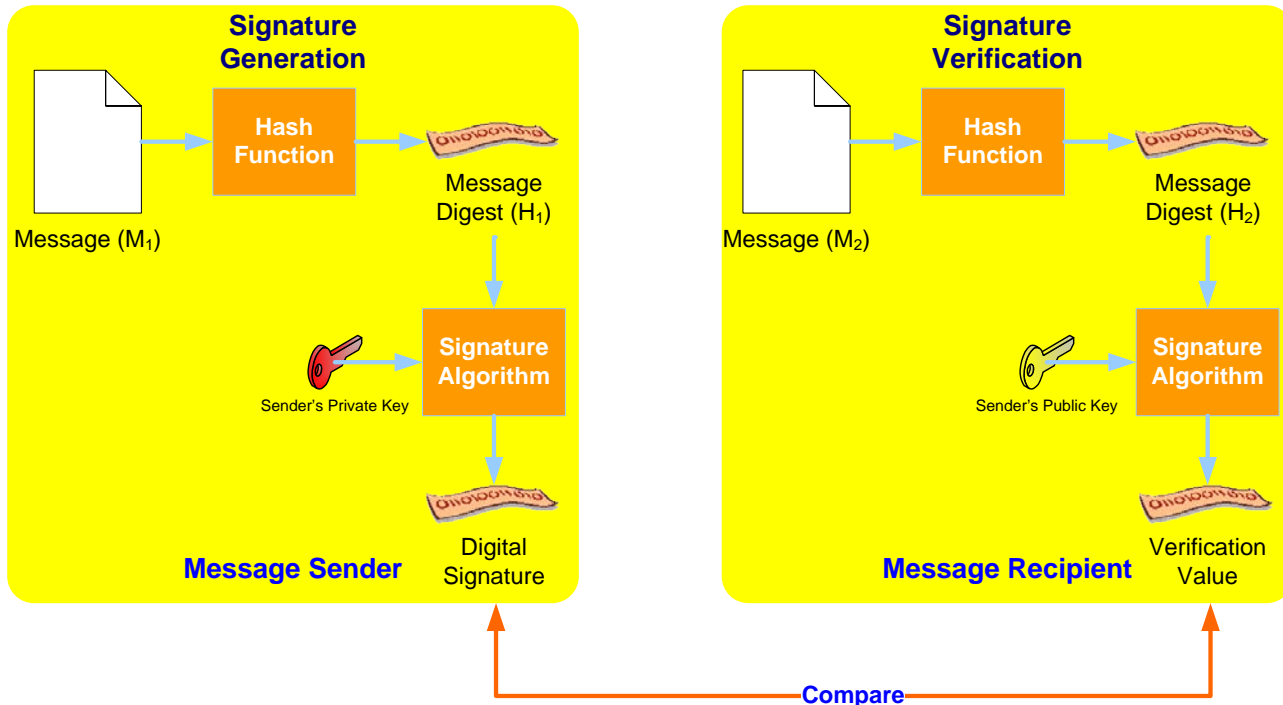
# Hash Function Cryptography – Keyed Digest

- Keyed digest is used to provide authentication function. The output fixed-size “hash value” is called:
  - Message Authentication Code (MAC): Secret key (symmetric ephemeral key) + message. (a.k.a. Message Integrity Code (MIC) and Keyed-Hash MAC (HMAC).



# Hash Function Cryptography – Digital Signature

- Digital signature (or digital fingerprint) is used to provide non-repudiation function.
- Digital signature is a hybrid cryptography that uses non-keyed hash function and asymmetric key-pair (public key & private key).



# Hash Function Cryptography – Randomness Extractor

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- True random number is hard to find, what computing machines generates is “pseudo random”
  - The most common pseudo-random number generator (**PRNG**) uses the linear congruential formula:

$$X_{n+1} = (aX_n + b) \bmod m$$



LinearCongruentialGenerators.cdf

- To add “randomness”, the PRNG output is put through a cryptographic hash function, because a good hash function is:
  - Pre-image resistance and
  - Collision resistance

## Questions:

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- What is a hash function?
  -
  
- What are the difference between non-keyed digest and keyed digest?
  - Non-keyed digest is used to provide ? function
  - Keyed digest is used to provide ? function
  
- What are the two key criteria of a good hash function?
  - 
  -

# Answers:

---

- What is a hash function?
  - A “one-way” mathematical function that take an input of arbitrary length and outputs a fixed size value.
- What are the difference between non-keyed digest and keyed digest?
  - Non-keyed digest is used to provide integrity function.
  - Keyed digest is used to provide authentication function.
- What are the two key criteria of a good hash function?
  - Pre-image resistant, and
  - Collision resistant.



# Cryptography Domain – Part 1

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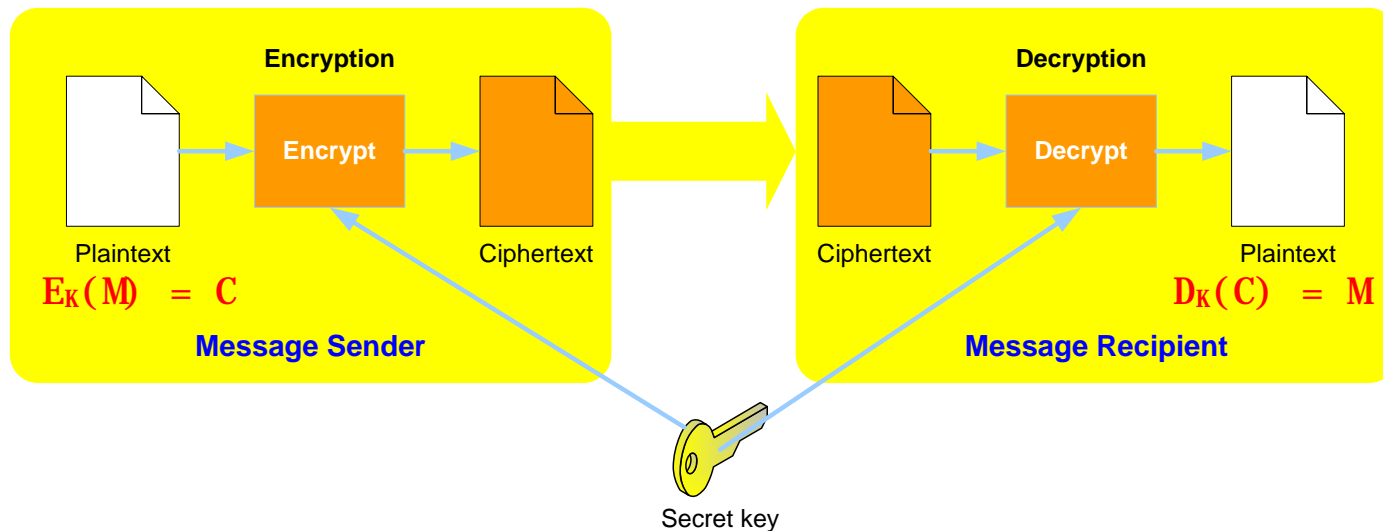
- Terms, Definition, Concept & History
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# Symmetric Key Cryptography

Symmetric key cryptography involves a single secret (symmetric) key.

- Both the sender and the recipient must have the same secret key.
- It is used by the sender to encrypt the message and by the recipient to decrypt it.



# Symmetric Key Cryptography

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- The same secret key has to be possessed by both parties.
  - Requires a secure mechanism to deliver keys.
- Each pair of users needs a unique key.
  - Key management can be difficult as the number of users grows.
  - $n * (n - 1) / 2$ : A user group of 100 people... 4,950 keys would be needed.
- Symmetric key cryptography provides confidentiality only. Need to combine with MAC for message integrity and authentication.
- Popular symmetric key algorithms: DES, 3DES, AES, RC6, Twofish, Blowfish, etc.

# Symmetric Key Cryptography – Algorithms

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There are two (2) ciphers that uses symmetric key algorithms for encryption:

- Block ciphers
  - Taking a fixed-length block of plaintext data and create a block of ciphertext data of same length.
- Stream ciphers
  - Generating a keystream (sequence of bits), combining the keystream with plaintext data, bit-by-bit using **XOR** operations and create a stream of ciphertext data.
  - One-time pad (a.k.a. Vernam cipher) is a type of stream cipher. The entire keystream is totally random and is used only once.

# Stream Cipher – XOR Operation

- Exclusive-OR (XOR) is an operation that is applied to two bits.
- It is a function in binary mathematics
  - if both bits are the same, the result is zero (0)

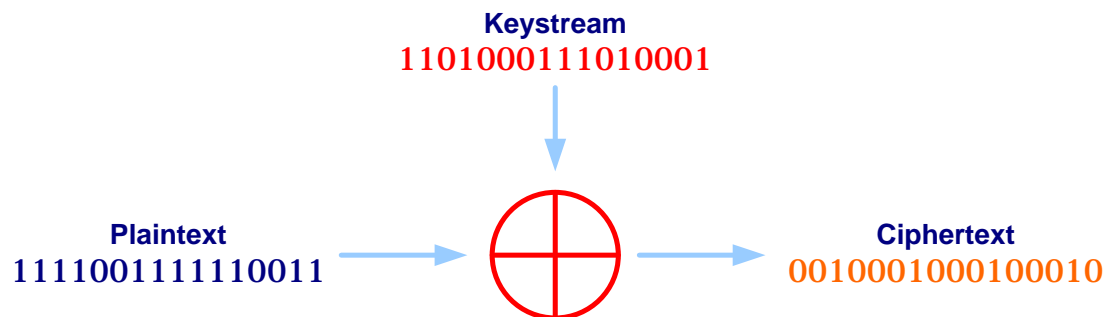
$$0 \oplus 0 = 0$$

$$1 \oplus 1 = 0$$

- If both bits are different, the result is one (1)

$$0 \oplus 1 = 1$$

$$1 \oplus 0 = 1$$



## Stream Cipher – XOR Operation

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Message		01011010	=>	ASCII	“Z”
	<b>XOR</b>				
Keystream		01100011	=>	ASCII	“c”
Ciphertext		00111001	=>	ASCII	“9”

---

Ciphertext		00111001	=>	ASCII	“9”
	<b>XOR</b>				
Keystream		01100011	=>	ASCII	“c”
Message		01011010	=>	ASCII	“Z”

## Block Cipher – Confusion vs. Diffusion



Block Ciphers use “confusion” and “diffusion” in their encryption methods:

- Confusion refers to making the relationship between the key and the ciphertext as complex and involved as possible.
- Diffusion refers to the property that redundancy in the statistics of the plaintext is “dissipated” in the statistics of the ciphertext.

## Block Cipher – Confusion

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Confusion can be achieved through substitution cipher operations.

- S-box provides element of confusion to a block cipher in symmetric key cryptosystem.
  - An S-box takes some number of input bits – **m**, and transforms them into some number of output bits – **n**.
  - Implemented as a “**m** × **n**” lookup table to provide element of confusion to block cipher.
- S-box can be implemented as:
  - Lookup table (e.g., DES)
  - Linear transformation through matrix multiplication (e.g., AES), or
  - Dynamically from the key (e.g., Blowfish or Twofish).

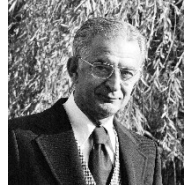


## Block Cipher – Diffusion

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Diffusion can be achieved through transposition cipher operations.

- Feistel network permutation provides element of diffusion to a block cipher of in a symmetric key cryptosystem.
  - Feistel or modified Feistel: DES, TDES, Blowfish, Twofish, RC5, etc.
  - Generalized Feistel: RC2, RC6, Skipjack, etc.
- Columnar transposition is not a Feistel network permutation, but it also provides element of diffusion to a block cipher.
  - AES' ShiftRows and MixColumns operations



## Block Cipher Modes of Operation

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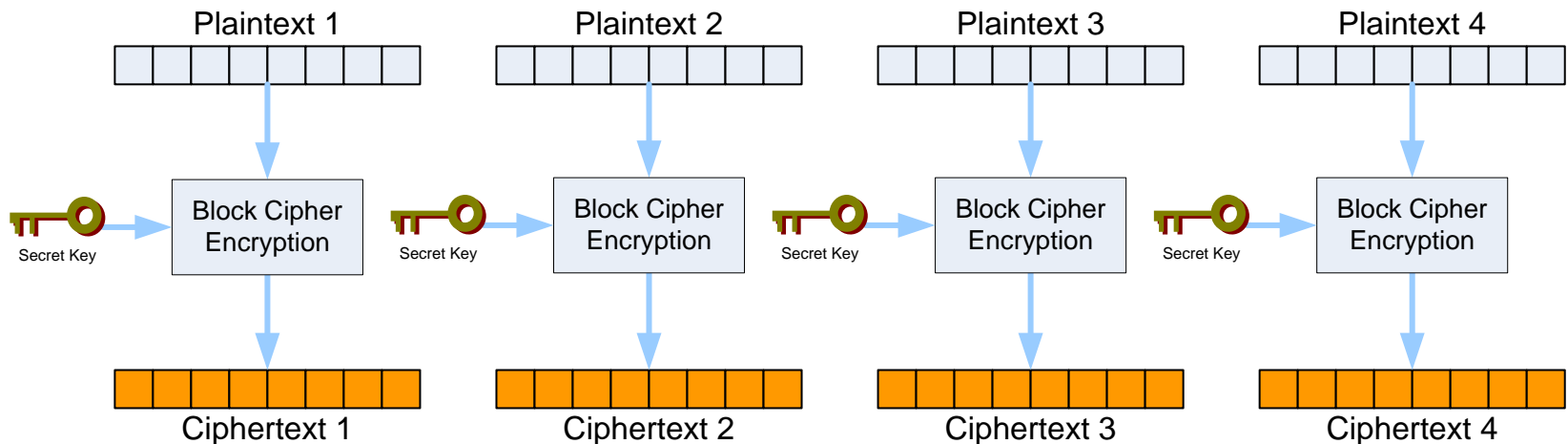
In general all block ciphers operates in five (5) modes

- Block Mode
  - ECB (Electronic Code Book)
  - CBC (Cipher Block Chaining)
- Stream Mode
  - CFB (Cipher Feed Back)
  - OFB (Output Feed Back)
  - CTR (Counter)
- FIPS 81 specified only 4 modes as the Federal standard, counter mode was not considered.
- NIST withdrew FIPS 81 on May 19<sup>th</sup>, 2005.

# Block Cipher Modes of Operation– ECB

## Electronic Code Book (ECB) Block Mode

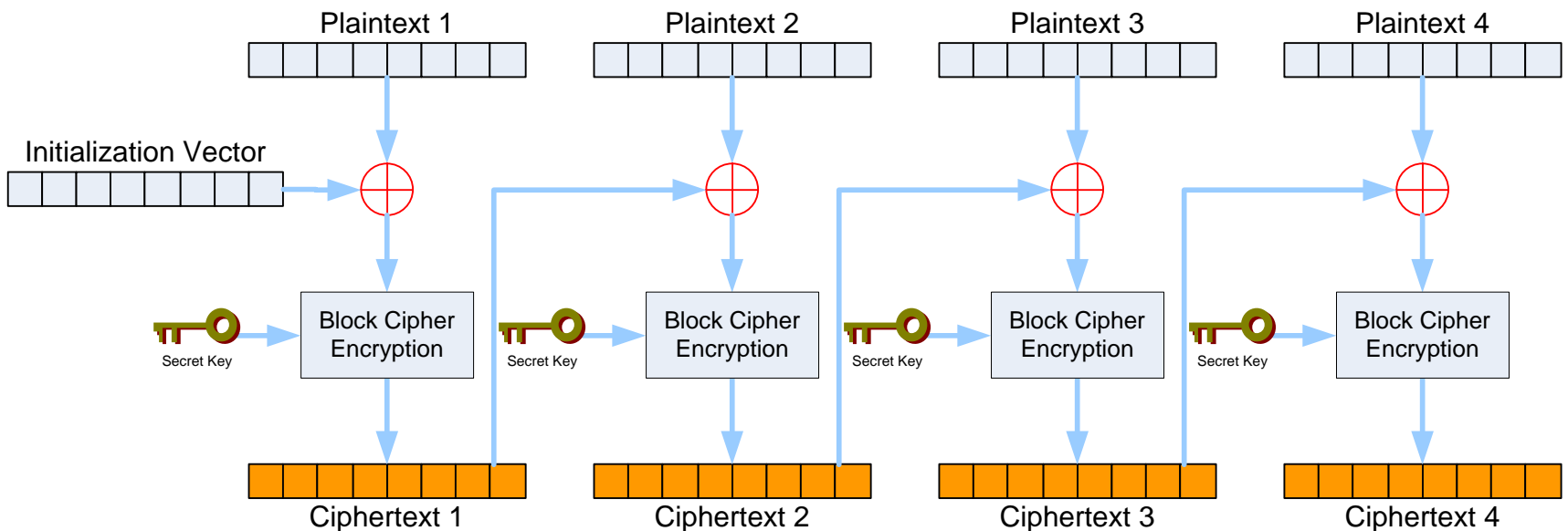
- $c_i = E_k(p_i)$ 
  - 64-bit data blocks processed individually, one at a time.
  - Decrypting starts at the beginning of ciphertext file and processes 64-bit block one at a time, until EOF.
- **Advantage:** Fast & Simple.
- **Disadvantage:** Susceptible to Known-plaintext attacks



# Block Cipher Modes of Operation– CBC

## Cipher Block Chaining (CBC) Block Mode

- $c_i = E_k(p_i \oplus c_{i-1})$  , where:  $c_0 = IV$ 
  - 64-bit plaintext blocks loaded sequentially.
  - XOR'ed with 64-bit Initialization Vector (IV).
  - Combination processed into cipher under secret key.
  - First ciphertext XOR'ed with next plaintext block.
- Most frequently used mode of operation.



## Block Cipher – Initialization Vector (IV)

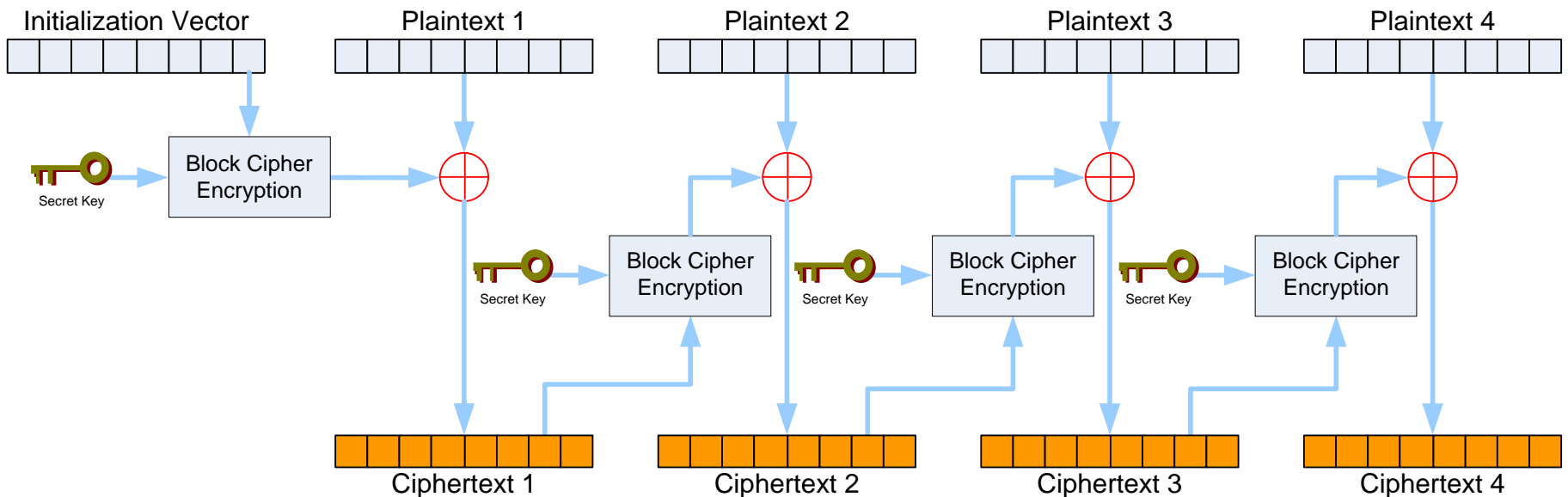
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- Initialization Vector (IV) is a block of bits used in the beginning of the encryption process for block or stream cipher.
- IV is generated using:
  - Random number generator (RNG) or
  - Pseudo-random number generator (PRNG)
- IV eliminates re-keying
  - In stream cipher, the IV is loaded into the keyed internal secret state of the cipher, after which a number of cipher rounds is executed prior to releasing the first bit of output.
  - In block cipher, the IV is linearly added to the first block of plaintext prior to encryption.

# Block Cipher Modes of Operation– CFB

## Cipher Feed Back (CFB) Stream Mode

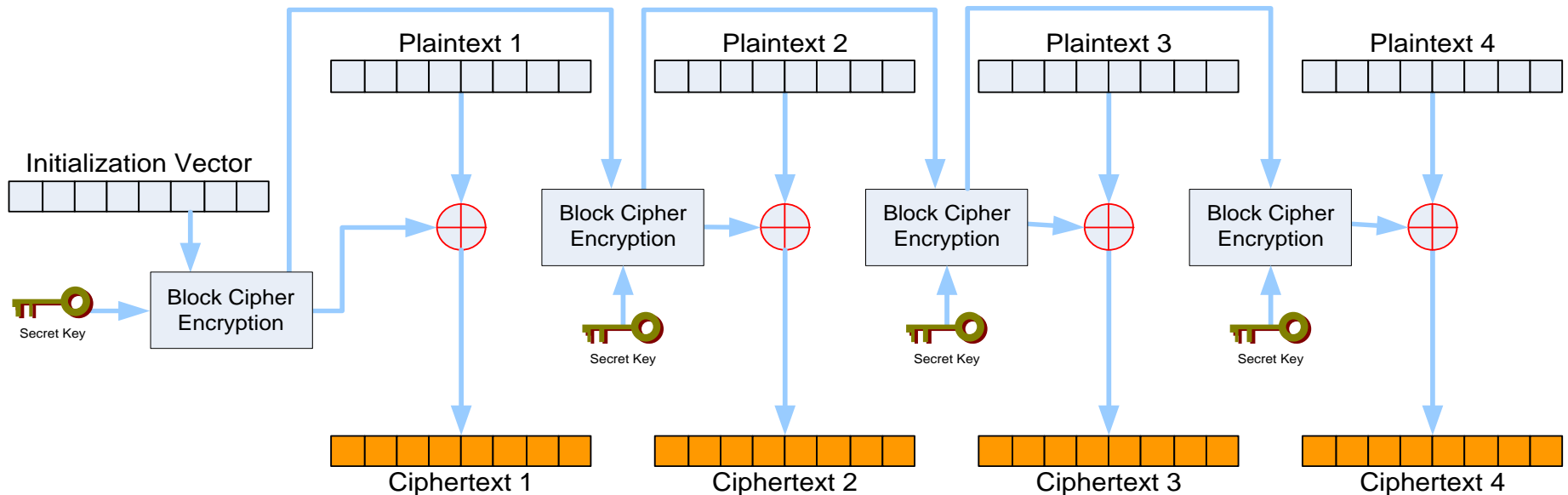
- $c_i = p_i \oplus E_k(c_{i-1})$  , where  $c_0 = IV$ 
  - Previous ciphertext block is encrypted and the output is combined with plaintext block using XOR to produce current ciphertext block.
  - Initialization Vector (IV) is used as a “seed” for the process.
  - Plaintext patterns are concealed by the XOR operation.



# Block Cipher Modes of Operation– OFB

## Output Feed Back (OFB) Stream Mode

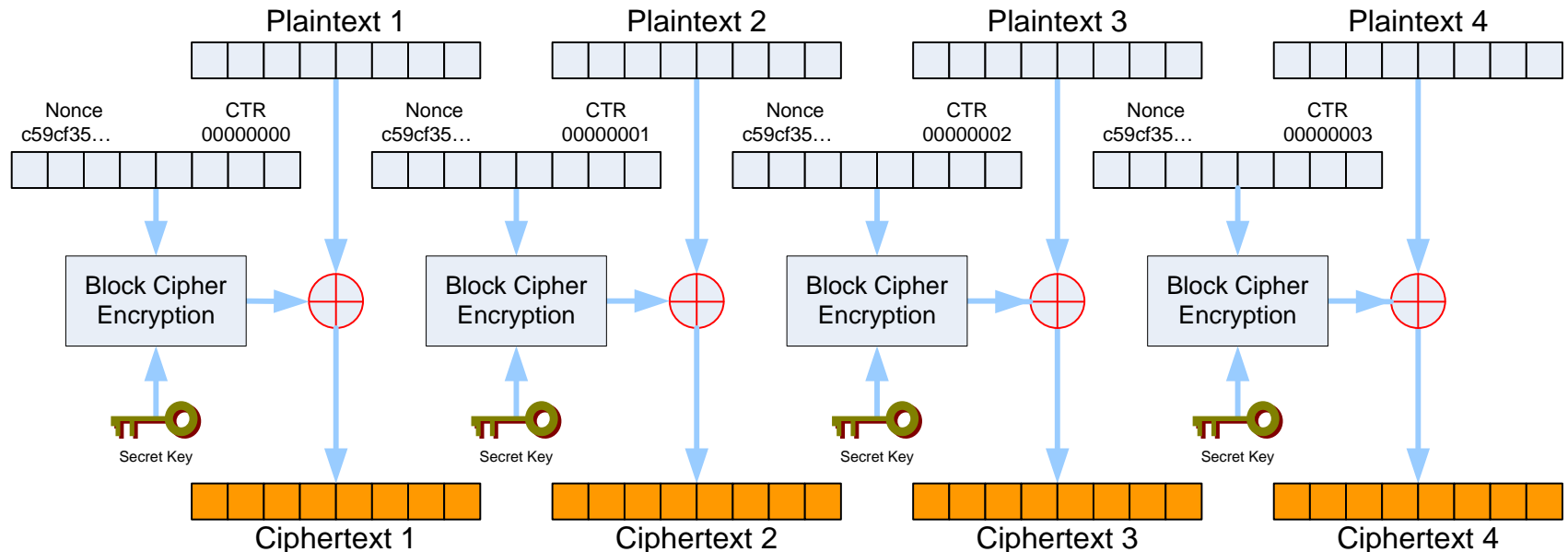
- $c_i = p_i \oplus o_i$ , where:  $o_i = E_k(o_{i-1})$  and  $o_0 = IV$ 
  - Similar to CFB mode, except that quantity XOR'ed with each plaintext block is generated independently of both plaintext and ciphertext.
  - Initialization Vector (IV) is used as a “seed” for the process.



# Block Cipher Modes of Operation– Counter

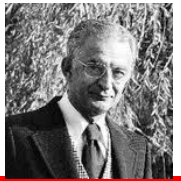
## Counter (CTR) Stream Mode (Not a FIPS!)

- $c_i = p_i \oplus E_k(n_{i-1})$  , where:  $n_{i-1} = IV \parallel t_{i-1}$ 
  - Similar to OFB mode the quantity XOR'ed with each plaintext block is generated independently of both plaintext and ciphertext.
  - Encrypted CTR values generate a keystream to be XOR'ed with message stream, much like stream cipher.

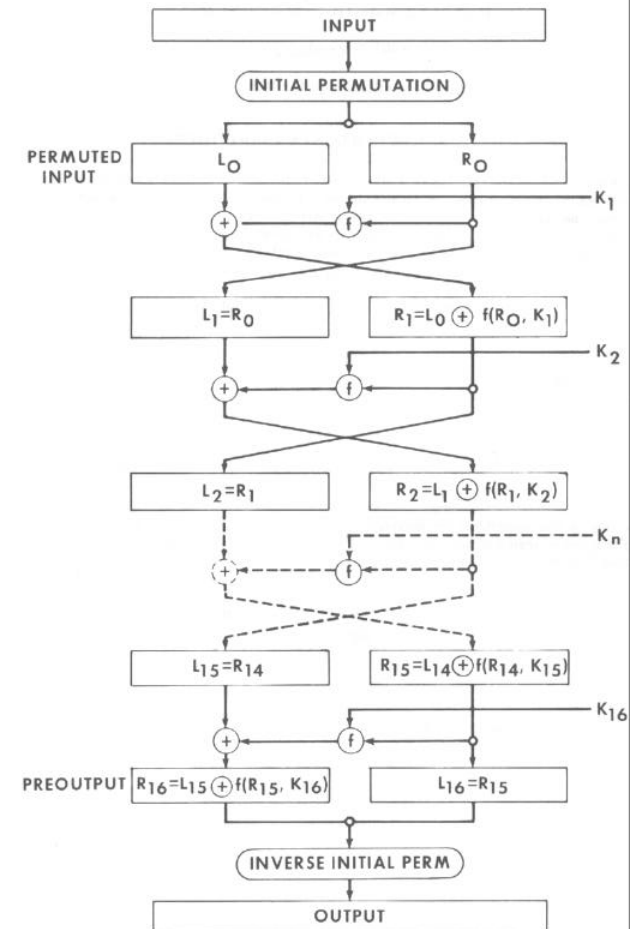




# Symmetric Key Cryptosystem – DES ... (1/2)



- Data Encryption Standard (DES), a symmetric key cryptosystem based on IBM's Lucifer cipher. DES has been a FIPS 46-1 since 1977.
- DES is a 64-bit block cipher algorithm (64-bit block = 56-bit secret key + 8-bit parity) that uses a key of 56 bits and 16 rounds of transposition and substitution to encrypt each group of 8 (64-bit) plaintext letters.



Feistel Network

## Reference:

- NIST FIPS 46-3, *Data Encryption Standard*, October 25, 1999

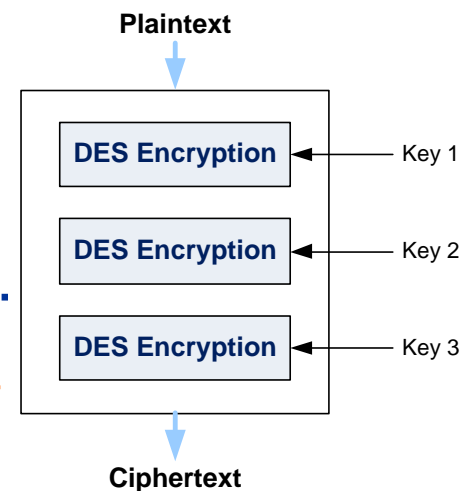
## Symmetric Key Cryptosystem – DES ...(2/2)

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- For an animation of the 64-bit DES encryption process...
  - Key schedule, 56-bit key divided into two 28-bit subkeys.
    - For each successive rounds, both halves are rotated left by one or two bits.
  - Initial Permutation (IP)
    1. Permutation of a 64-bit input block to a 64-bit IP matrix
  - Rounds
    1. Expansion
    2. Key mixing
    3. Substitution
    4. Permutation

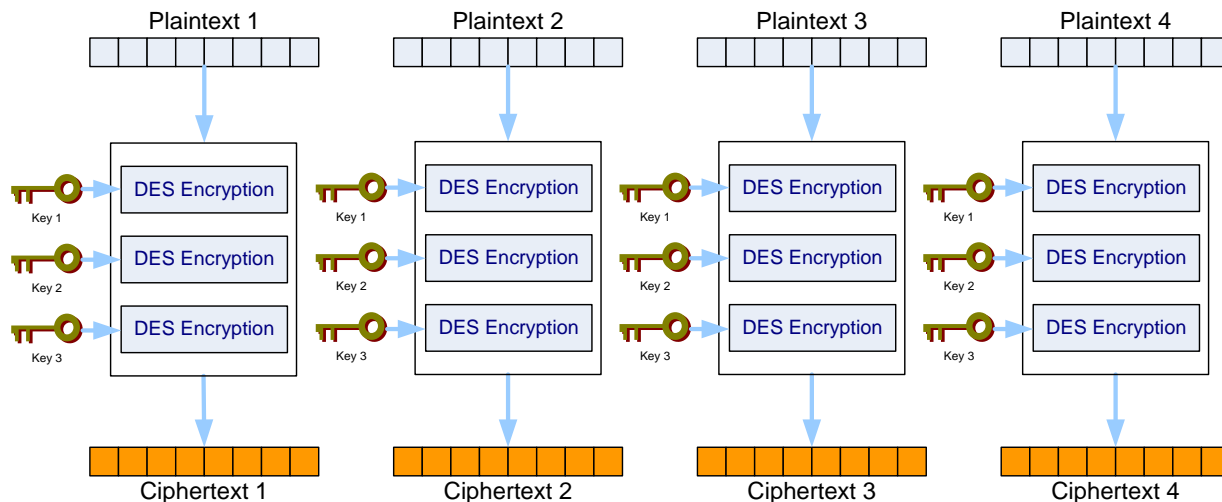
## Symmetric Key Cryptosystem – Triple-DES (TDES) ...(1/2)

- TDES is an interim solution from NIST to provide a stronger solution than DES...
  - TDES uses 48 rounds of transposition and substitution functions in its computation.
  - TDES is approximately  $2^{56}$  times stronger than DES.
- TDES operates in four (4) different modes...
  - DES-EEE: TDES encryption with 3 different keys
  - DES-EDE: TDES operations (encrypt-decrypt-encrypt) with 3 different keys.
  - DES-EEE2: TDES encryption with 2 different keys and 1<sup>st</sup> & 3<sup>rd</sup> operations use the same key.
  - DES-EDE2: TDES operations (encrypt-decrypt-encrypt) with 2 different keys and 1<sup>st</sup> & 3<sup>rd</sup> operations use the same key.



# Symmetric Key Cryptosystem – Triple-DES (TDES) ... (2/2)

- TDES is used as the underlying block cipher algorithm for the following modes of operations:
  - Electronic Code Book (TECB in ANSI X9.52)
  - Cipher Block Chaining (TCBC, TCBC-I in ANSI X9.52)
  - Cipher Feed Back (TCFB, TCFB-P in ANSI X9.52)
  - Output Feed Back (TOFB, TOFB-I in ANSI X9.52)
  - Counter (CTR, not specified in ANSI X9.52)
- For example: TECB (TDES-EEE in ECB) ...



**Reference:**

- NIST SP 800-38A, *Recommendation for Block Cipher Modes of Operation*, December 2001.
- FIPS 46-3, *Data Encryption Standard*, October 25, 1999.

# Symmetric Key Cryptosystem – Advanced Encryption Standard (AES) ... (1/4)

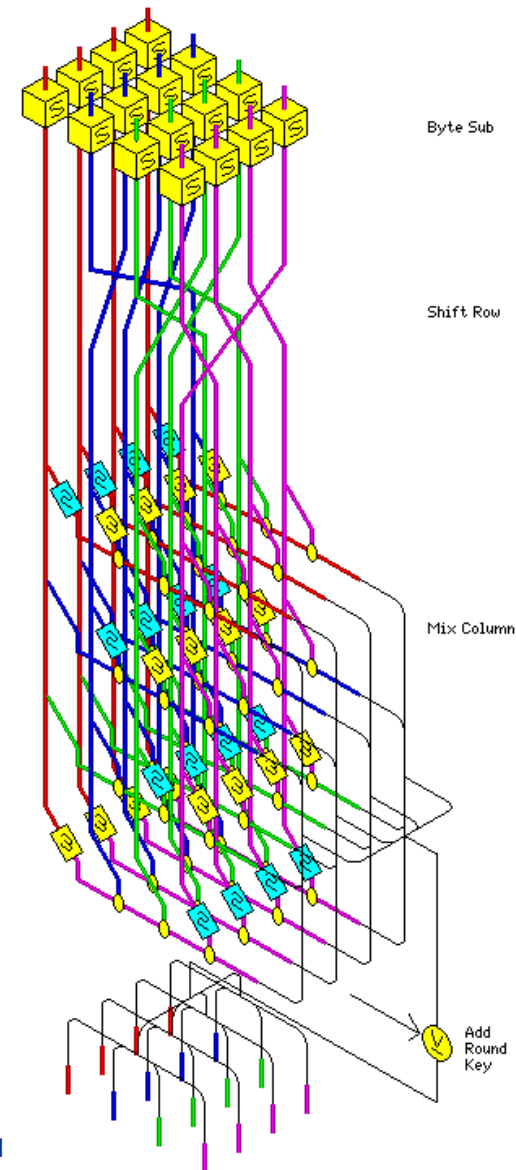
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- NIST announced the need for a TDES replacement in 1997.
- A request for candidate symmetric block algorithms supporting key sizes of 128, 192, and 256-bit was issued.
- AES Candidates included:
  - MARS – Developed by the IBM Team that developed Lucifer (the algorithm DES was developed from).
  - RC6 – Developed by RSA.
  - Serpent – Developed by Ross Anderson, Eli Biham, and Lars Knudsen.
  - TwoFish – Developed by Counterpane Systems.
  - Rijndael – Developed by Vincent Rijmen and Joan Deamon.
  - CAST – Developed by Entrust Technologies.



# Symmetric Key Cryptosystem – Advanced Encryption Standard (AES) ... (2/4)

- The Rijndael algorithm developed by Vincent Rijman & Joan Daeman was selected after lengthy testing to become AES (FIPS 197)
- AES is a symmetric block cipher that can...
  - Process data blocks of 128 bits.
  - Uses cipher keys with lengths of 128, 192, and 256 bits.
  - Variable number of rounds, each round containing 4 steps (Byte Sub, Shift Row, Mix Column, Add Round Key)
  - Rijndael was designed to handle additional block sizes and key lengths, however they are not adopted in the AES standard.



#### Reference:

- NIST FIPS 197, *Advanced Encryption Standard (AES)*, November 2001
- <http://www.quadibloc.com/crypto/co040401.htm>

# Symmetric Key Cryptosystem – Advanced Encryption Standard (AES) ... (3/4)

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- Like DES, AES is an block cipher algorithm for symmetric key cryptosystem.
  - Uses confusion and diffusion principles
  - Uses a substitute-permutation network (NOT Feistel network)
- For both its cipher and inverse cipher, AES algorithm uses a “round function” that is composed of four different byte-oriented transformations:
  - SubByte (Confusion)
  - ShiftRow (Diffusion)
  - MixColumn (Diffusion)
  - AddRoundKey (Confusion)

# Symmetric Key Cryptosystem – Advanced Encryption Standard (AES) ... (4/4)

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- For an animation of the 128-bit AES encryption process... (<http://www.formaestudio.com/rijndaelinspector/archivos/rijndaelanimation.html>)
  - KeyExpansion using Rijndael's key schedule
  - Initial Round
    1. AddRoundKey
  - Rounds
    1. SubBytes
    2. ShiftRows
    3. MixColumns
    4. AddRoundKey
  - Final Round (no MixColumns)
    1. SubBytes
    2. ShiftRows
    3. AddRoundKey



## Symmetric Key Cryptosystem – SKIPJACK

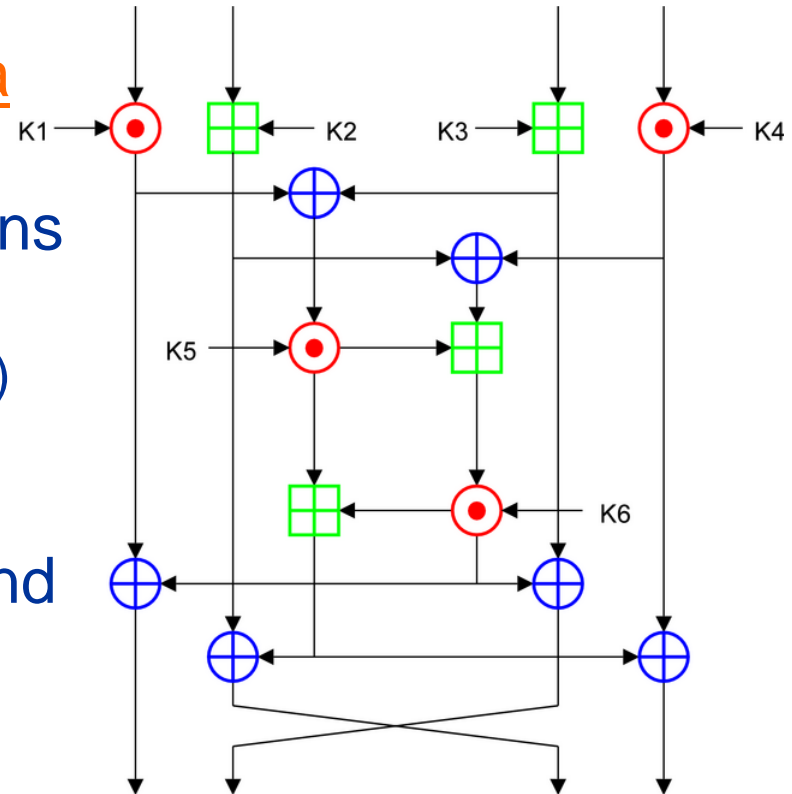
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- SKIPJACK is a symmetric key algorithm implemented in electronic devices.
  - SKIPJACK algorithm is no longer classified.
  - Single chip cryptoprocessors: CLIPPER, CAPSTONE, KEYSTONE, REGENT, KRYPTON, and FORTEZZA.
  - SKIPJACK is a 64-bit codebook using an 80-bit cryptovvariable (session key).
  - Similar to DES, SKIPJACK has four (4) modes of operation but processes 32 rounds of transposition & substitution per operation:
    - Electronic Code Book (ECB), 64-bit
    - Cipher Block Chaining (CBC), 64-bit
    - Output Feed Back (OFB), 64-bit
    - Cipher Feed Back (CFB), 64/32/16/8-bit
  - Skipjack uses DH for key exchange.

# Symmetric Key Cryptosystem – IDEA

## International Data Encryption Algorithm (IDEA)

- Uses 64-bit input and output data blocks.
- Based on 3 mathematical functions
  - $\oplus$  XOR
  - $\odot$  ADDITION modulo  $2^{16}$  (65536)
  - $\boxtimes$  MULTIPLICATION modulo  $2^{16} + 1$  (65537)
- Uses 8 rounds of transposition and substitution.



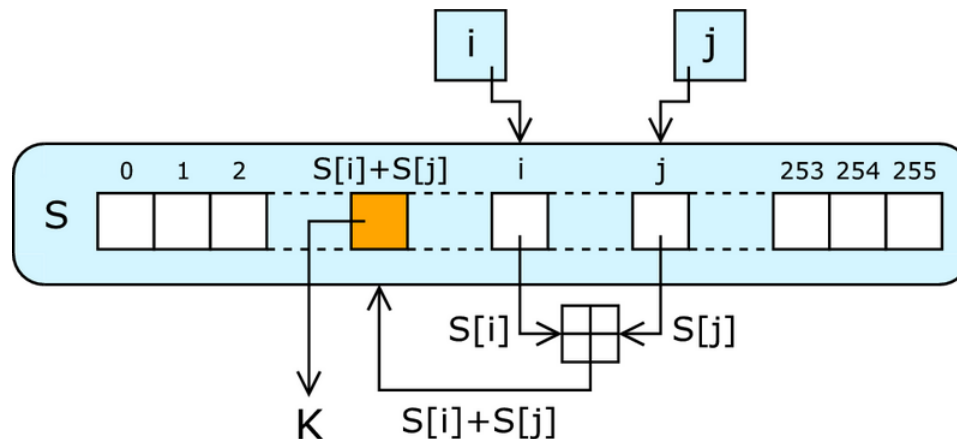
## Symmetric Key Cryptosystem – Other Block Ciphers

- RC5
  - Designed by Ron Rivest of RSA Security
  - Features data dependant rotations, variable block size (32,64, or 128 bits), variable key size (0 – 2040 bits), variable number of rounds
- RC6
  - Also designed by Ron Rivest. It was a candidate for AES.
  - Based on RC5, RC6 has a block size of 128 bits, supports key size of 128, 192, and 256 bits.
- Blowfish
  - Highly efficient block cipher, designed by Bruce Schneier
  - Key size: 32 – 448 bits (in steps of 8 bits)
  - 64-bit block size
  - Optimized for 32-bit micro-processors

# Symmetric Key Cryptosystem – Stream Ciphers

- RC4

- The most commonly implemented software stream cipher.
- Used in Secure Socket Layer (SSL) and Wired Equivalent Privacy (WEP).
- Designed by Ron Rivest of RSA Security.
- Using pseudo-random generation algorithm.
- Variable key size.
- Highly efficient, much faster than any block cipher.
- Stream ciphers can be difficult to implement correctly.



## Questions:

---

- What is the key attribute of symmetric key cryptography?
  -
- What are the two key properties that all block cipher cryptosystems have?
  - 
  -
- What type of stream cipher cryptosystem is considered “unbreakable”?
  -

## Answers:

---

- What is the key attribute of symmetric key cryptography?
  - A single secret key.
- What are the two key properties that all block cipher cryptosystems have?
  - Confusion, and
  - Diffusion.
- What type of stream cipher cryptosystem is considered “unbreakable”?
  - One-time pad.

## Questions:

---

- What are the two types of cipher that uses symmetric key algorithm for encryption?
  - 
  -
- What are the four modes of cryptography operations for DES?
  - 
  - 
  - 
  -

## Answers:

---

- What are the two types of cipher that uses symmetric key algorithm for encryption?
  - Block cipher, and
  - Stream cipher.
- What are the four modes of cryptography operations for DES?
  - Electronic Code Book (ECB) Block Mode,
  - Cipher Block Chaining (CBC) Block Mode,
  - Cipher Feed Back (CFB) Stream Mode, and
  - Output Feed Back (OFB) Stream Mode.



# Cryptography Domain – Part 1

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- Terms, Definition, Concept & History
- Cipher Types
  - Classic Ciphers
  - Modern Ciphers
- Cryptographic Algorithms
  - Hash Function Cryptography
  - Symmetric Key Cryptography
  - Asymmetric Key Cryptography
  - Hybrid Cryptography



# Asymmetric Key Cryptography

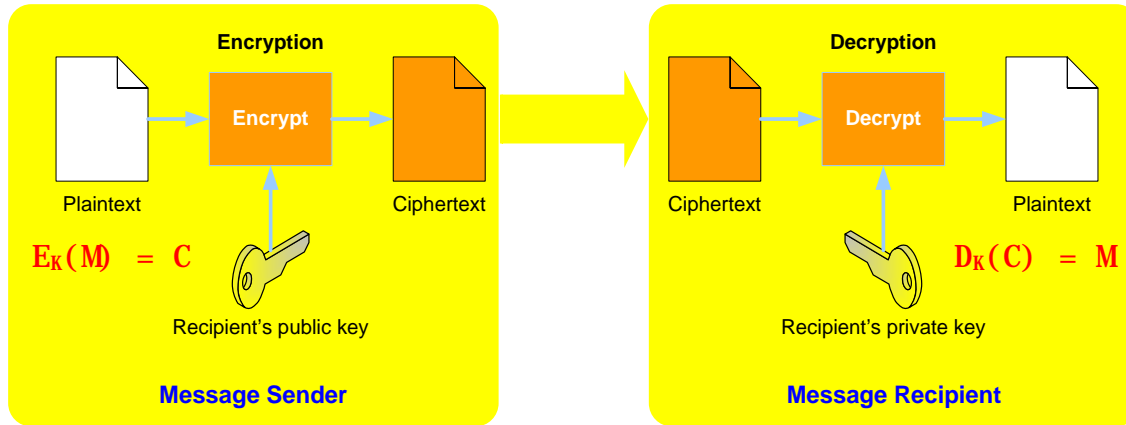
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Asymmetric key cryptography involves two mathematically related but different keys known as a key-pair (a private key & a public key).

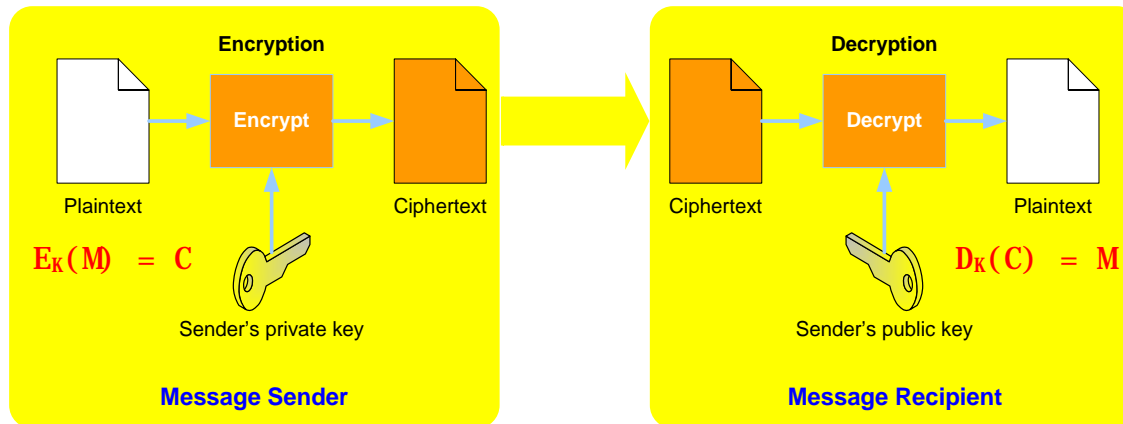
- The public key is derived from the private key.
  - Only the owner has the private key.
  - One-way mathematical link (a.k.a. “Trapdoor function”).
  - The private key cannot be deduced (theoretically) by analyzing the public key.

# Asymmetric Key Cryptography

- Secure message format:



- Open message format:



## Asymmetric Key Cryptography – Algorithms

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Asymmetric key cryptography is mathematically more complex than symmetric key cryptography.

- Factorization algorithms
- Discrete logarithm algorithms
  - Discrete Logarithm with Finite Field
  - Elliptic Curve Discrete Logarithm with Finite Field
- The public-key cryptography process is substantially slower than symmetric key cryptography process.  
(100 times slower in software, 1,000 to 10,000 times slower in hardware)
- Key sizes must be relatively large.

# Asymmetric Key Cryptography – Factorization Algorithms

## Factorization Algorithms

- Based on factoring “semi-prime” numbers (integers).
- Larger the number, more computation is needed. **2**, **3**, ... , **73**, **2521**, ... , **2345347734339**,  $2^{756839} - 1$ , ...etc.
- RSA PKCS#1
  - Key generation: RSA public key and RSA private key.
  - Public-key encryption:  $c = m^e \bmod n$ , where **c** is ciphertext, **m** is message, **e** is RSA public exponent and **n** is RSA modulus.
  - Public-key decryption:  $m = c^d \bmod n$ , where **d** is RSA private exponent.
  - Digital signature:  $m = s^e \bmod n$ , where **s** is signature representative.

# Asymmetric Key Cryptography – Discrete Logarithms

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Discrete logarithm w/ finite field

- Based on the mathematical proof of generalized discrete logarithm problem (GDLP),
  - where computing exponentiation over a finite field is easy ( $Y^x \bmod P$ ),
  - but computing the discrete logarithm is hard. (find  $x$  where  $Y^x \equiv Z \pmod{P}$ )
- Parameters have to be as large as factoring (512, 1024, 2048-bit).
- Diffie-Hellman, El Gamal, and DSA.
- Brute force attacks are infeasible against discrete logarithms. But vulnerable to chosen-ciphertext attacks.

# Asymmetric Key Cryptography – Diffie-Hellman (DH)

- Diffie-Hellman (DH) is a key exchange algorithm for public-key cryptography. NOT for encryption!
- DH uses finite field discrete logarithm as “trapdoor” function between private and public keys.  $Y^X \pmod{P}$

Step	Alice	Exchange Methodology	Bob
1	Select Y & P with Bob	$Y^X \pmod{P}$ Public know to the world	Select Y & P with Alice
2	$Y = 11$ $P = 13$	$11^X \pmod{13}$	$Y = 11$ $P = 13$
3	Alice chooses a secret number (2)	Select a secret number	Bob chooses a secret number (5)
4	$11^2 \pmod{13}$ $121 \pmod{13} = 4$	Calculate one-way function using their secret number	$11^5 \pmod{13}$ $161051 \pmod{13} = 7$
5	Alice sends the result “4” to Bob	Send the result of the one-way function to the other person	Bob sends the result “7” to Alice
6	Calculate $7^2 \pmod{13}$ $49 \pmod{13} = 10$	Take received calculation and raise it to your secret number	Calculate $4^5 \pmod{13}$ $1024 \pmod{13} = 10$
7	Symmetric key selected through calculation is 10	Both people have the same number without revealing their “secret”	Symmetric key selected through calculation is 10

# Asymmetric Key Cryptography – RSA Encryption Algorithm

- Message:  $m = 3$
- Choose 2 random, prime numbers:  $p = 19, q = 13$
- $n = pq, n = 247$
- Choose a random # to be  $e$  (encryption key):  $e = 7$
- Compute  $d$  (decryption key) (private key)  
 $d = e^{-1} \bmod (p-1)(q-1)$   
 $d = ((19-1)(13-1))/7 = 216/7 = 31$  (round up)
- Public key =  $(n,e) = (247,7)$
  
- To encrypt:  $c = m^e \bmod n \rightarrow c = 3^7 \bmod 247 \rightarrow$   
 $c = 211$  (ciphertext)
- To decrypt:  $m = c^d \bmod n \rightarrow m = 211^{31} \bmod 247 \rightarrow$   
 $m = 3$  (plaintext)

Reference:

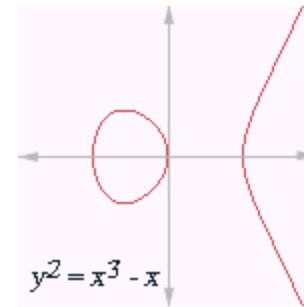
- *CISSP All-in-One Exam Guide*, 4<sup>th</sup> ed.
- <http://en.wikipedia.org/wiki/RSA>



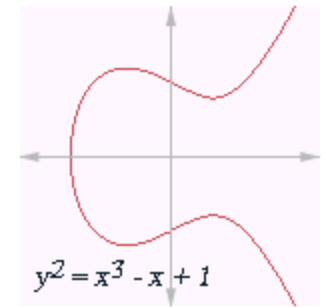
# Asymmetric Key Cryptography – Elliptic Curve Algorithm

Elliptic Curve Cryptography (ECC) uses algebraic system defined on points of elliptic curve to provide public-key cryptography.

- ECC based on the mathematical problem of factors that are coordinate pairs that fall on an elliptic curve.



AddingPointsOnAnEllipticCurve.cdf



RealEllipticCurves.cdf

- Advantages:
  - Highest strength/bit in current public-key cryptosystems.
  - Fast encryption and signature speed.
  - Small signatures & certificates. (Ideal for smart card).
- Examples:
  - ECC, EC-DH, EC-DSA, EC-EIGamal

# Asymmetric Key Cryptography

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While asymmetric key cryptography is more complex and slower than symmetric, but...

- Key management is simplified.
  - Only one party needs to know the private key.
  - Knowledge of the public key does not compromise the security of message transmissions.
- Key distribution is scalable.
  - Each subject has just **one key-pair** (private & public keys) .instead of  **$n * (n - 1) / 2$**  for symmetric key crypto.
  - Number of keys =  **$2 * n$**  (1,000 users, 2,000 keys).
- Key establishment can be authenticated.
  - The key pair – private & public keys are mathematically related, but different.
  - DH algorithm: ( **$Y^X \bmod P$** , find  **$X$**  where  **$Y^X \equiv Z \pmod{P}$** ).

## Question:

---

- In asymmetric key cryptography, how is the public key related to private key?
  -
- What are the two types of algorithms used in asymmetric key cryptography?
  - 
  -
- What are the three major advantages of asymmetric cryptography over symmetric cryptography?
  - 
  - 
  -

## Answers:

---

- In asymmetric key cryptography, how is the public key related to private key?
  - Public key is generated through a “one-way” algorithm using the private key.
- What are the two types of algorithms used in asymmetric key cryptography?
  - Factorization algorithm, and
  - Discrete logarithm.
- What are the three major advantages of asymmetric cryptography over symmetric cryptography?
  - Key management (is simplified),
  - Key distribution (is scalable), and
  - Key establishment (keys can be authenticated).

# Cryptography Domain – Part 1

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- Terms, Definition, Concept & History
- Cipher Types
  - Classic Ciphers
  - Modern Ciphers
- Cryptographic Algorithms
  - Hash Function Cryptography
  - Symmetric Key Cryptography
  - Asymmetric Key Cryptography
  - Hybrid Cryptography



## Hybrid Use of Symmetric and Asymmetric Cryptography

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Question:

- How can one take advantage the speed of symmetric cryptography and keep the secret key secret?

Answer:

- Make use of asymmetric cryptography to keep the ephemeral secret key secret.
- Make use of hash functions to ensure integrity and non-repudiation of the ephemeral secret key.
- Use the transported ephemeral secret key to perform bulk/ link encryption using symmetric cryptography.

## Hybrid Use of Symmetric and Asymmetric Cryptography

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Example:

- PKI enabled e-mail message, where a one-time secret key is generated for DES, TDES or AES to encrypt the content. E-mail recipient's public key is used to encrypt the one-time secret key.
- Secured SSL/TLS HTTPS sessions that uses public key cryptography to transport the session ephemeral secret key and perform symmetric crypto-operations.
- KG-75, KG-175 are Type-1 cryptosystems that use FIREFLY public key from EKMS, and KSD-64 CIK. Then SKIPJACK-like keys are combined to perform symmetric cryptography operations.

# Symmetric vs. Asymmetric Summary

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Attribute	Symmetric	Asymmetric
<b>Key</b>	One shared secret key	Public/Private key pair
<b>Key exchange</b>	Difficult – must be done securely	Public/Private key relationship allows Public Key to be in the Open
<b>Speed</b>	Less complex & faster	More complex & much slower
<b>Key Length (Bits)</b>	Smaller (80 to 256+)	Much Larger (1024 to 2048+) *ECC (160 to 512+)
<b>Use</b>	Bulk encryption	Key encryption, key distribution
<b>Security</b>	Confidentiality/ Integrity	Confidentiality, Integrity, Authentication, Non-repudiation



# Summary of Cryptography Algorithms

	Encryption	Digital Signature	Hash Function	Key Distribution
<b>Symmetric Key Algorithms</b>				
DES	X			
3DES	X			
AES	X			
Blowfish	X			
IDEA	X			
RC4	X			
<b>Asymmetric Key Algorithms</b>				
RSA	X	X		X
ECC	X	X		X
EIGamal, EC-EIGamal	X	X		X
DSA, EC-DSA		X		
Diffie-Hellman (DH), EC-DH				X
<b>Hash Function</b>				
RSA: MD2, MD4, MD5			X	
SHA-1, SHA-224, SHA-256, SHA-384, SHA-512			X	
HAVAL			X	

# Validation Time... 😊

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1. Classroom Exercise
2. Review Answers

# Exercise #1: Polyalphabetic (/ Running Key) Cipher

- Please decipher the followings...
  - Ciphertext:           JWCX00QI YI ZODHKDWB T
  - Keyword:               BLOCKCI PHERBLOCKCI P

A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R	S	T	U	V	W	X	Y	Z
B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R	S	T	U	V	W	X	Y	Z	A
C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R	S	T	U	V	W	X	Y	Z	A	B
D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R	S	T	U	V	W	X	Y	Z	A	B	C
E	F	G	H	I	J	K	L	M	N	O	P	Q	R	S	T	U	V	W	X	Y	Z	A	B	C	D
F	G	H	I	J	K	L	M	N	O	P	Q	R	S	T	U	V	W	X	Y	Z	A	B	C	D	E
G	H	I	J	K	L	M	N	O	P	Q	R	S	T	U	V	W	X	Y	Z	A	B	C	D	E	F
H	I	J	K	L	M	N	O	P	Q	R	S	T	U	V	W	X	Y	Z	A	B	C	D	E	F	G
I	J	K	L	M	N	O	P	Q	R	S	T	U	V	W	X	Y	Z	A	B	C	D	E	F	G	H
J	K	L	M	N	O	P	Q	R	S	T	U	V	W	X	Y	Z	A	B	C	D	E	F	G	H	I
K	L	M	N	O	P	Q	R	S	T	U	V	W	X	Y	Z	A	B	C	D	E	F	G	H	I	J
L	M	N	O	P	Q	R	S	T	U	V	W	X	Y	Z	A	B	C	D	E	F	G	H	I	J	K
M	N	O	P	Q	R	S	T	U	V	W	X	Y	Z	A	B	C	D	E	F	G	H	I	J	K	L
N	O	P	Q	R	S	T	U	V	W	X	Y	Z	A	B	C	D	E	F	G	H	I	J	K	L	M
O	P	Q	R	S	T	U	V	W	X	Y	Z	A	B	C	D	E	F	G	H	I	J	K	L	M	N
P	Q	R	S	T	U	V	W	X	Y	Z	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O
Q	R	S	T	U	V	W	X	Y	Z	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P
R	S	T	U	V	W	X	Y	Z	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q
S	T	U	V	W	X	Y	Z	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R
T	U	V	W	X	Y	Z	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R	S
U	V	W	X	Y	Z	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R	S	T
V	W	X	Y	Z	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R	S	T	U
W	X	Y	Z	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R	S	T	U	V
X	Y	Z	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R	S	T	U	V	W
Y	Z	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R	S	T	U	V	W	X
Z	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R	S	T	U	V	W	X	Y

## Exercise #2: Cipher Operation for Block Cipher

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- Which of the following cipher operation is in stream mode?

$$c_i = E_k(p_i \oplus c_{i-1}) , \text{ where: } c_0 = IV$$

or

$$c_i = p_i \oplus E_k(c_{i-1}) , \text{ where } c_0 = IV$$

- How is IV generated?

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Suggested

# ANSWERS

# Exercise #1: Polyalphabetic (/Running Key) Cipher

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- Answer:

- Plaintext:            I LOVE MITRE INSTITUTE

- Using

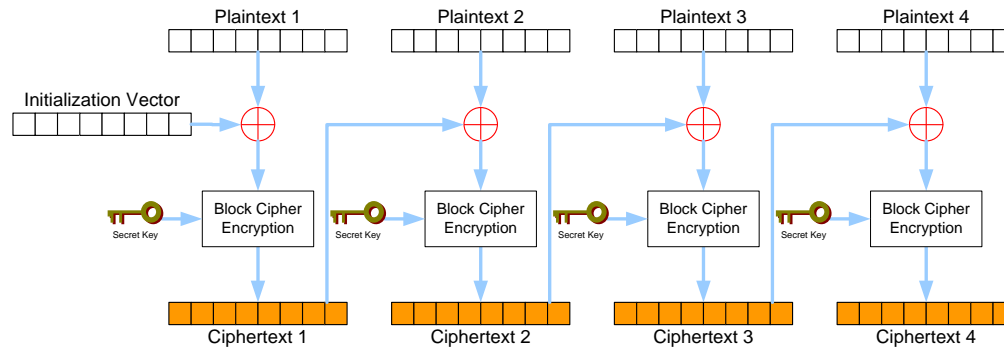
- Ciphertext:            JWCX00QI YI ZODHKDWB

- Keyword:                BLOCKCIPHERBLOCKCIP

## Exercise #2: Cipher Operation for Block Cipher

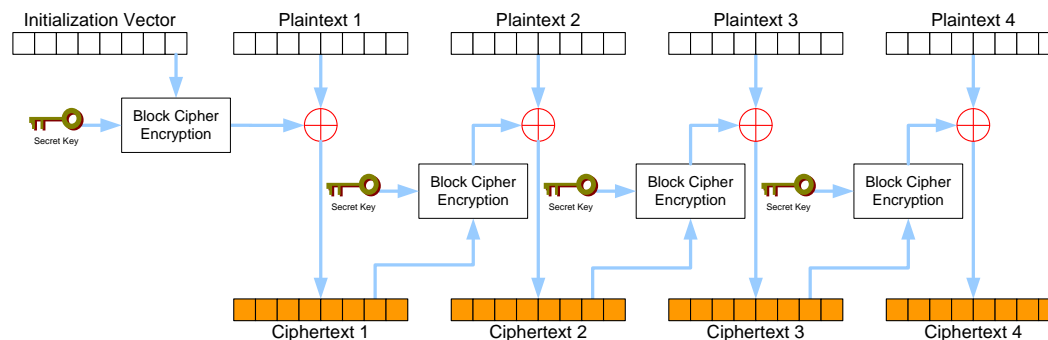
- Cipher Block Chaining (CBC)

$$c_i = E_k(p_i \oplus c_{i-1}) \text{ , where: } c_0 = \text{IV}$$



- Cipher Feed Back (CFB)

$$c_i = p_i \oplus E_k(c_{i-1}) \text{ , where } c_0 = \text{IV}$$



## Exercise #2: Cipher Operation for Block Cipher

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- How is IV generated?

It is usually done using a pseudo-random number generator (PRNG).

The most common PRNG is the linear congruential generator:

$$X_{n+1} = (aX_n + b) \text{ mod } m$$



LinearCongruentialGenerators.cdf

Then the random number is put in to a randomness extractor. Typically it is a cryptographic hash function.



# Public Key Cryptography Standards (PKCS)\*

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- PKCS # 1: RSA Cryptography Standard. (Now includes PKCS #2 & #4)
- PKCS # 3: Diffie-Hellman Key Agreement Standard.
- PKCS # 5: Password-Based Cryptography Standard.
- PKCS # 6: Extended-Certificate Syntax Standard. (This is currently being phased out in favor of X509 v3.)
- PKCS # 7: Cryptographic Message Syntax Standard.
- PKCS # 8: Private-Key Information Syntax Standard. (Key Information)
- PKCS # 9: Selected Attribute Types. (This defines selected attribute types for use in other PKCS standards)
- PKCS # 10: Certification Request Syntax Standard.
- PKCS # 11: Cryptographic Token Interface Standard.
- PKCS # 12: Personal Information Exchange Syntax Standard.
- PKCS # 13: Elliptic Curve Cryptography Standard.
- PKCS # 15: Cryptographic Token Information Format Standard.

# FIPS 140-2

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## Federal Information Process Standard (FIPS) 140-2: *Security Requirements for Cryptographic Modules\**

- Specifies security requirements for protecting SBU information.
- Covers areas related to the secure design and implementation of a cryptographic module.
- Provides four (4) increasing, qualitative levels of security
- Cryptographic Module Validation Program (CMVP)\*\*
  - All new products are to be tested under FIPS 140-2.
  - Currently, all FIPS 140-1 validated modules are still valid.

#### Reference:

\* <http://csrc.nist.gov/publications/fips/>

\*\* <http://csrc.nist.gov/cryptval/>

# FIPS 140-2, Security Requirements for Cryptographic Modules, December 2002

	Security Level 1	Security Level 2	Security Level 3	Security Level 4
<b>Cryptographic Module Specification</b>	Specification of cryptographic module, cryptographic boundary, Approved algorithms, and Approved modes of operation. Description of cryptographic module, including all hardware, software, and firmware components. Statement of module security policy.			
<b>Cryptographic Module Ports and Interfaces</b>	Required and optional interfaces. Specification of all interfaces and of all input and output data paths.		Data ports for unprotected critical security parameters logically or physically separated from other data ports.	
<b>Roles, Services, and Authentication</b>	Logical separation of required and optional roles and services.	Role-based or identity-based operator authentication.	Identity-based operator authentication.	
<b>Finite State Model</b>	Specification of finite state model.	Required states and optional states.	State transition diagram and specification of state transitions.	
<b>Physical Security</b>	Production grade equipment.	Locks or tamper evidence.	Tamper detection and response for covers and doors.	Tamper detection and response envelope. EFP or EFT.
<b>Operational Environment</b>	Single operator. Executable code. Approved integrity technique.	Referenced PPs evaluated at EAL2 with specified discretionary access control mechanisms and auditing.	Referenced PPs plus trusted path evaluated at EAL3 plus security policy modeling.	Referenced PPs plus trusted path evaluated at EAL4.
<b>Cryptographic Key Management</b>	Key management mechanisms: random number and key generation, key establishment, key distribution, key entry/output, key storage, and key zeroization.			
	Secret and private keys established using manual methods may be entered or output in plaintext form.		Secret and private keys established using manual methods shall be entered or output encrypted or with split knowledge procedures.	
<b>EMI/EMC</b>	47 CFR FCC Part 15. Subpart B, Class A (Business use). Applicable FCC requirements (for radio).		47 CFR FCC Part 15. Subpart B, Class B (Home use).	
<b>Self-Tests</b>	Power-up tests: cryptographic algorithm tests, software/firmware integrity tests, critical functions tests. Conditional tests.			
<b>Design Assurance</b>	Configuration management (CM). Secure installation and generation. Design and policy correspondence. Guidance documents.	CM system. Secure distribution. Functional specification.	High-level language implementation.	Formal model. Detailed explanations (informal proofs). Preconditions and post-conditions.
<b>Mitigation of Other Attacks</b>	Specification of mitigation of attacks for which no testable requirements are currently available.			

# Symmetric – Asymmetric Key Size Comparisons

Size of Symmetric Keys	
Effective Security (Bits)	Encryption Algorithm
80	SKIPJACK
112	3DES
128	AES-128
192	AES-192
256	AES-256

Size of Public Keys		
DSA/DH	RSA	ECC
1024	1024	160
2048	2048	224
3072	3072	256
7680	7680	384
15360	15360	512